

## **REMARKS**

Claims 1-37 and 39-42 were presented for examination and were pending in this application. In an Official Action dated July 5, 2005, claims 1-37 and 39-42 were rejected. Applicants thank Examiner for examination of the claims pending in this application and addresses Examiner's comments below.

Applicants herein cancel claim 13 and amend claims 1, 7-10, 16, 18, 20, 26, 28, 29, 31-33, 35, 36, and 39-42. Reconsideration of the application in view of the above amendments and the following remarks is respectfully requested.

### **Claim Amendments**

In addition to amendments made to independent claims 1, 7-10, 26, 35, and 40, which are discussed in more detail below in the sections regarding rejections under 35 U.S.C. § 102 and 35 U.S.C. § 103, Applicants have amended the following claims to clarify grammar and correct antecedent basis noticed during prosecution.

Claims 10, 26, and 36 have been amended to clarify antecedent basis associated with the phrase "an image." Claims 16, 18, 20, 28, 29, 41, and 42 have been amended to clarify antecedent basis associated with the phrase "annotation object." The claim dependencies of claims 31-33 have been amended to correct lack of antecedent basis arising from the original claim misnumbering. Claims 35 and 39 have been amended to clarify the selection of the "visual notation" of the "image." Claims 41-42 were additionally amended to correct grammatical errors.

Applicants respectfully submit that no new matter is introduced as a result of these amendments.

## **Claim Objections**

The Examiner objected to the numbering of claims, stating in particular, “[m]isnumbered claim 37 should be renumbered claim 38.”

Applicants acknowledge Examiner’s objection and confirm that claim 38 is canceled.

## **Claim Rejection Under 35 U.S.C. § 102(b)**

In the 2<sup>nd</sup> paragraph of the Office Action, the Examiner rejected claims 35-37 and 39 under 35 U.S.C. § 102(b) as allegedly being anticipated by Lin, “An Ink and Voice Annotation System for DENIM,” (“Lin”). This rejection is now traversed.

### **Independent Claim 35**

Claim 35 has been amended to recite in part, “generating the annotation automatically, in response to user input of a location within the image and an audio input, including automatically terminating a recording of the audio input based on a predetermined audio level.” Applicants respectfully submit that claim 35 as amended recites a method, based on the automatic creation of an annotation, with an ease of use that is not reflected in Lin. As indicated in paragraph 6 of the specification, “[o]nce an image is displayed, the user need only select an image and speak to create an annotation. The system automatically creates the annotation...” In particular, as described in paragraph 44 of the specification:

by indicating a position on a display device 100 through clicking, pointing, or touching the display screen, creation of an annotation is completed and audio recording is initiated. Audio recording may cease when the audio level drops below a predetermined threshold ...

The ease of use of the claimed method relates, for example, to both initiating and completing the audio recording. As indicated in paragraph 46 of the specification:

In one embodiment, control unit 150 initiates audio recording in response to detecting a positional stimulus, whereas in an alternate embodiment, control unit 150 automatically initiates audio recording upon detecting audio input above a predetermined threshold level. Similarly, audio recording may automatically be terminated upon the audio level dropping below a predetermined threshold or upon control unit 150 detecting a predetermined duration of silence where there is no audio input.

These passages describe annotations created “automatically”, for example, by input of a positional stimulus (e.g., identifying a location within an image) or audio signal, and terminated automatically, for example, by a reduced signal level or silence. As summarized in paragraph 66 of the specification, “The annotation process of the present invention is particularly advantageous because it provides for easy annotation of images with a ‘point and talk’ methodology.”

This “point and talk methodology” is not disclosed or suggested in Lin. Lin requires four separate steps to create an annotation. Lin requires: 1) selection of a microphone tool, 2) identification of a position for the annotation, 3) starting of recording and 4) manual termination of the audio recording. In particular, as described on page 3, lines 13-19, of Lin, “the [user] first taps on the microphone to pick it up.” Then, the user “taps [a part of the design he wants to comment on] with the microphone.” Then, in the “sound recorder” window, the user must click a “record” button to initiate recording (see also Figure 4b). Finally, to terminate the annotation generation, “the user closes the window, [and] a speaker icon appears where the user tapped.” Thus, Lin does not provide for displaying objects with annotations including “generating the annotation automatically, in response to user input of a location within the image and an audio input, including automatically terminating a recording of the audio input based on a predetermined audio level,” as required by Applicants amended Claim 35.

Based on the above Amendment and Remarks, Applicants respectfully submit that for at least these reasons independent claims 35 is patentably distinguishable over Lin. Therefore, Applicants respectfully request that Examiner reconsider the rejection, and withdraw it.

Dependent Claims 36, 37, and 39

As claims 36-37 and 39 are dependent on claim 35, all arguments advanced above with respect to claim 35 are hereby incorporated so as to apply to claims 36-37 and 39. These claims also have additional patentable features, in particular multi-modal annotations, not disclosed in Lin.

Specifically, regarding claim 36, Lin does not enable text associated with a visual notation. The text identified by the Examiner (Fig 3 of Lin) in reference to claim 36 is not associated with the speaker icon shown in Fig. 4c of Lin. Thus, Lin does not “determin[e] whether the annotation includes text,” as required by Applicants’ claim 35, from which claim 36 depends.

Specifically, regarding claim 39, Lin does not “determin[e] whether the annotation includes an audio signal,” as required by Applicants’ claim 39, since audio is the only item associated with the speaker icon of Lin. In contrast, Applicants’ disclosure enables multi-modal annotations, which may be, for example, text, audio, or other media types. Lin does not teach such multi-modal annotations.

Thus, Applicants respectfully submit that for at least these additional reasons claims 36 and 39 are patentably distinguishable over Lin.

In sum, based on the above Amendments and Remarks, Applicants respectfully submit that for at least these reasons claims 35-37 and 39 are patentably distinguishable over the cited reference. Therefore, Applicants respectfully request that Examiner reconsider the rejection, and withdraw it.

**Claim Rejection Under 35 U.S.C. § 103(a)**

In the 3<sup>rd</sup> and 4<sup>th</sup> paragraphs of the Office Action, the Examiner rejected claims 1-34 and 40, and 41-42, respectively, under 35 U.S.C. § 103(a) as allegedly being unpatentable over U.S. Patent No. 6,499,016 to Anderson (“Anderson”) in view of Balabanovic, “Multimedia Chronicles for Business Communication (“Balabanovic”). These rejections are respectfully traversed.

**Independent Claims 1, 7-10, 26, and 40**

Applicants respectfully submit that independent claims 1, 7-10, 26, and 40 recite apparatus and methods based on the automatic creation of a complete annotation associated with a particular location within the image. The automatic creation is characterized by an ease of use, including automatic termination of the recording of an audio input, which is not reflected in Anderson or Balabanovic, either alone or in combination.

Each independent claim 1, 7-10, 26, and 40 embodies these features. Amended claim 1 recites an apparatus for direct annotation of objects including a direct annotation creation module, with:

the direct annotation creation module, in response to receiving the input audio signal and the reference to the location within the image, automatically creating an annotation object ... and the direct annotation creation module automatically terminating a recording of the input audio signal based on a predetermined audio level.

Amended claims 7-9 recite additional embodiments of an apparatus for direct annotation of objects in which:

the direct annotation creation module, in response to receiving the input audio signal or the reference to the location within the image, automatically creating an annotation object ... and the direct annotation creation module automatically terminating a recording of the input audio signal based on a predetermined audio level.

Amended claims 10 and 26, directed to computer-implemented methods for direct annotation of objects, recite:

creating an annotation object, ..., the creating step occurring automatically in response to the receiving or detecting steps and including automatically terminating a recording of the audio input based on a predetermined audio level.

Amended claim 40, directed to a computer-implemented method for retrieving images, recites:

determining annotation objects that reference a close match to the audio input, each annotation object generated automatically in response to user input of a location within an image and an audio signal, where a recording of the audio signal is terminated automatically based on a predetermined audio level.

As discussed above with reference to the 35 U.S.C. § 102(b), the discussion of which is herein incorporated, the specification enables, and the independent claims 1, 7-10, 26, and 40 recite, complete annotations created automatically, for example, by mere input of a positional stimulus (e.g., identifying a location within an image) or audio signal, and terminated automatically, for example, by a reduced signal level or silence (see the discussion above regarding paragraphs 6, 44, and 46 of the specification). As pointed out previously, the invention is characterized by an ease of use making “[t]he annotation process of the present invention [] particularly advantageous because it provides for easy annotation of images with a ‘point and talk’ methodology” (paragraph 66 of the specification).

Just as Lin does not reflect the ease of use embodied by Applicants' claims, neither does Anderson or Balabanovic, either alone or in combination. Anderson requires either an image capture or manual initiation by the user to enter the annotation mode (col. 4, lines 27-30). In particular, during sequential recoding, the user must both manually initiate and manually terminate a particular voice recording once in the annotation mode. "For example, the user may press the audio button 22 and speak 'Caption' followed by a description, and then press the audio button 22 again to end the recording session." (col. 4, lines 53-56) This process must be repeated for each annotation (col. 4, lines 48-60). Balabanovic, although characterized as a "point and talk methodology" requires an additional click to stop an audio signal. "Clicking anywhere causes recording to begin ...Clicking elsewhere on the screen stops the first bar growing [the recording]..." (col. 7, lines 54, 61-62).

Neither Anderson nor Balabanovic, either alone or in combination, discloses automatic creation of a complete annotation. In particular, neither discloses or suggests annotations created automatically, for example, by mere input of an audio signal, or annotations terminated automatically, for example, by a reduced signal level or silence (see the discussion under 35 U.S.C. § 102(b) regarding paragraph 46 of the specification). These features are embodied in Applicants' independent claims 1, 7-10, 26, and 40, as quoted above. Thus, Applicants respectfully submit that independent claims 1, 7-10, 26, and 40 are patentably distinguishable over Anderson and Balabanovic, both alone and in combination.

Another particular feature of the present invention is annotation of particular locations of an image. As described in paragraph 46 of the specification, "After the input is complete and/or a text equivalent found, a symbol or text representing the annotation is added to the image at the position of the image of the positional stimulus." As shown in

Figures 11C and 11D, which are mentioned, for example, in paragraphs 67 and 46, respectively, an image may have several annotations associated with different locations of the image.

Each independent claim 1, 7-10, 26, 35 and 40 embodies the feature of associating an annotation with a particular location within the image. Claim 1 recites “the direct annotation creation module, in response to receiving the input audio signal and the reference to the location within the image, automatically creating an annotation object, independent from the image, that associates the input audio signal with the location.” Claims 7-9 each include a similar limitation as claim 1. Claims 10 and 26 variously recite “detecting selection of a location within the image” and “creating an annotation object, independent of the selected image, between the selected location and the audio input [or text].” Claim 35 recites “generating the annotation automatically, in response to user input of a location within the image and an audio input.” Claim 40 includes a limitation similar to claim 35.

Based on the above Amendment and Remarks, Applicants respectfully submit that for at least these reasons independent claims 1, 7-10, 26, and 40 are patentably distinguishable over Anderson and Balabanovic, both alone and in combination. Therefore, Applicants respectfully request that Examiner reconsider the rejections, and withdraw them.

#### Dependent Claims 2-6, 11-12, 14-25, 27-34, and 41-42

Claims 2-6 are dependent on claim 1, claims 11-12 and 14-25 are dependent on claim 10, claims 27-34 are dependent on claim 26, and claims 41-42 are dependent on claim 40. Thus, all arguments advanced above with respect to independent claims 1, 10, 26, and 40 are hereby incorporated so as to apply to claims 2-6, 11-12 and 14-25, 27-34, and 41-42, respectively.

Applicants also respectfully submit that claims 4, 5, 21-23, 24-25, 27-34, and 41-42 also have additional patentable features not disclosed or suggested in Anderson and Balabanovic, either alone or in combination.

Specifically regarding claim 4, the cited references do not disclose or suggest “an audio vocabulary storage for storing a plurality of audio signals and corresponding text strings,” as recited in claim 4. In particular, Anderson translates the input audio signals via voice recognition (col. 5, lines 31-35), which may require later user correction (col. 5, lines 47-51), rather than “finding a corresponding text string that matches the audio input,” as recited by Applicants’ claim 4. Additionally, Balabanovic does not allow text annotations (col. 6, lines 30-35). This argument also applies to Applicants’ claims 21-23, which similarly recite “a vocabulary,” and to Applicants’ claims 27-34, which include the “vocabulary” recited in claim 26, from which claims 27-34 depend.

Specifically regarding claim 5, the cited references do not disclose or suggest “a dynamic vocabulary updating module … to create a new entry in the audio vocabulary storage,” as recited by Applicants’ claim 5. This feature facilitates customization of the annotation process, which is not possible in the cited references. This argument also applies to Applicants’ claims 24-25 and 33-34, regarding “updating the vocabulary.”

Specifically regarding claims 41 and 42, the cited references do not disclose or suggest “comparing the audio input to an audio signal reference of the annotation object,” as recited by claims 41 and 42. This feature facilitates audio searching of audio annotations. Anderson, which is the only cited reference that describes searching the annotations, performs text searching using a text input keyword to search amongst text strings that were translated from audio signals (col. 6, lines 32-42).

Thus, Applicants respectfully submit that for at least these additional reasons claims 4, 5, 21-23, 24-25, 27-34, and 41-42 are patentably distinguishable over the cited references, either alone or in combination.

In sum, based on the above Amendment and Remarks, Applicants respectfully submit that for at least these reasons claims 1-12, 14-34, and 40-42 are patentably distinguishable over Anderson and Balabanovic, both alone and in combination. Therefore, Applicants respectfully request that Examiner reconsider the rejections, and withdraw them.

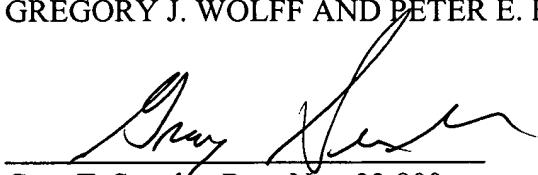
## **CONCLUSION**

In sum, Applicants respectfully submit that claims 1-12, 14-37, and 39-42, as presented herein, are patentably distinguishable over all of the art of record. Therefore, Applicants request reconsideration of the basis for the rejections to these claims and request allowance of the claims.

In addition, Applicants respectfully invite Examiner to contact Applicants' representative at the number provided below if Examiner believes it will help expedite furtherance of this application.

Respectfully Submitted,  
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